



PTO/SB/08a/b (08-03)  
Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |    |                          |                        |                   |
|---|---|----|--------------------------|------------------------|-------------------|
| Substitute for form 1449A/B/PTO   |   |    | <b>Complete If Known</b> |                        |                   |
|   |   |    | Application Number       | 10/695,930             |                   |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    | Filing Date              | October 29, 2003       |                   |
|   |   |    | First Named Inventor     | Walter Brieden         |                   |
|   |   |    | Art Unit                 | N/A                    |                   |
|   |   |    | Examiner Name            | Not Yet Assigned       |                   |
| Sheet   | 1 | of | 1                        | Attorney Docket Number | 05409/100J061-US2 |

| U.S. PATENT DOCUMENTS |                          |  |                  |  |   |
|-----------------------|--------------------------|--|------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) | MM-DD-YYYY       |  |   |
| [Signature]           |                          | 4,138,562                                | 2/6/79           | Vince  |   |
|                       |                          | 5,917,042                                | 6/99             | Daluge   |   |
|                       |                          | 5,049,671                                | 9/91             | Daluge   |   |
|                       |                          | 5,087,697                                | 2/92             | Daluge   |   |
|                       |                          | 6,156,893                                | 12/00            | Bernegger  |   |
|                       |                          | 4,916,224                                | 4/90             | Vince  |   |
|                       |                          | 5,034,394                                | 7/23/91          | Daluge   |   |
|                       |                          | 5,294,423                                | 3/15/94          | Lorthioir et al.                                   |   |
|                       |                          | 5,200,527                                | 4/6/93           | Griffiths et al.                                   |   |
|                       |                          | 5,847,201                                | 12/98            | Wieczorek  |   |

| FOREIGN PATENT DOCUMENTS |                       |   |                  |   |   |                |
|--------------------------|-----------------------|---|------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>3</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |   |   |                |
| JW                       |                       | WO- 9919327   | 4/1999           | Jones   |   |                |
|                          |                       | EP-512895   | 4/29/93          |   |   |                |
|                          |                       | DE-947702   | 8/25/56          |   |   |                |
|                          |                       | WO-9317020  | 9/2/93           |   |   |                |
|                          |                       | EP-508352   | 4/6/92           |   |   |                |
|                          |                       | WO-9521161  | 8/10/95          |   |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| [Signature]                     |                          | Katagiri et al., Chem. Pharm.Bull., 39(5), 1112-1122, 1991.   |                |
|                                 |                          | Campbell et al., J. Org. Chem. 1995, 60, 4602-2616.   |                |
|                                 |                          | Taylor S. J. et al., Tetrahedron: Asymmetry Vol. 4, No. 6, 1993, 1117-1128.   |                |
|                                 |                          | Park K.H. & Rappoport H., J. Org. Chem. 1994, 59, 394-399.  |                |
|                                 |                          | Martinez et al. (J. Org. Chem. 1996, 61, 7963 - 7966).  |                |
|                                 |                          | Katagiri et al., (Tetrahedron Letters, 1989, 30, 1645 - 1658).  |                |
|                                 |                          | Malpass & Tweedle, J. Chem. Soc., Perkin Trans 1, 1977, 874 - 884).   |                |
|                                 |                          | H.C. Brown et al., Inorg. Choc. 20, 1981, 4456 - 4457.  |                |
|                                 |                          | Balkenhohl et al., 1997, J. Prakt. Chem. 338, 381 - 384.  |                |
|                                 |                          | K. Farber, Biotransformation in Organic Chemistry, 2 <sup>nd</sup> ed., Berlin 1995, 270-305.   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

{W:054  
09/100i

Date  
Considered

12/27/06